

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 197(b) or 1.97(c))

**Docket No.**  
15292.5

**In Re Application Of:** Stefan Johansson

<b>Application No.</b> 09/771,121	<b>Filing Date</b> January 26, 2001	<b>Examiner</b> Ian N. Moore	<b>Customer No</b> 22913	<b>Group Art Unit</b> 2616	<b>Confirmation No.</b> 7000
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**Title:**  
ORIGINATOR AUTHENTICATION

**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

**Payment of Fee**

**(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))**

- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
- ☐ Charge the amount of \$ \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☒ Payment by credit card using the payment option in eFiler with RAM will be used to cover the payment of fees with respect to this Information Disclosure Statement.

  
Signature

Dated this 26 day of June, 2007.

JENS C. JENKINS  
Registration No.: 44,803  
Attorney for Applicant  
Customer No.: 22913

VIA eFILE

PATENT APPLICATION  
Docket No. 15292.5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
		)
	Stefan Johansson, et al.	)
		)
Serial No.:	09/771,121	) Art Unit
		) 2616
Filed:	January 26, 2001	)
		)
Conf. No.:	7000	)
		)
For:	ORIGINATOR AUTHENTICATION	)
		)
Examiner:	Ian N. Moore	)
		)
Customer No.:	22913	)

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

VIA eFILE IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

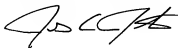
Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 26 day of June, 2007.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Jens C. Jenkins', with a stylized flourish at the end.

JENS C. JENKINS  
Attorney for Applicant  
Registration No. 44,803  
Customer No. 22913

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Sheet 1 of 10

Applicant: Stefan Johansson

Serial No.: 09/771,121

Attorney Docket No.: 15292.5

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Application Documents

Examiner Initial*	Application Number	Name	Class	Sub Class	Filing Date
_____ A1.	09/684,057	Johansson	709	227	10/06/00

U.S. Patent Documents

Examiner Initial*	Patent Number	Issued Date	Name	Class	Sub Class	Filing Date
_____ A2.	4,994,966	02/19/91	Hutchins	364	419	03/31/88
_____ A3.	5,233,611	08/03/93	Triantafyllos	371	016.100	08/20/90
_____ A4.	5,371,883	12/06/94	Gross	395	575	03/26/93
_____ A5.	5,481,544	01/02/96	Baldwin	370	094.100	10/17/94
_____ A6.	5,521,849	05/28/96	Adelson	364	570	04/19/94
_____ A7.	5,742,905	04/21/98	Pepe	455	461	09/19/94
_____ A8.	5,761,201	06/02/98	Vaudreuil	370	392	02/07/97
_____ A9.	5,809,108	09/15/98	Thompson	379	015	09/27/96

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Examiner:

Date Considered:

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Applicant: Stefan Johansson

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U.S. Patent Documents (continued)

<u>Examiner</u> <u>Initial*</u>	<u>Patent</u> <u>Number</u>	<u>Issued</u> <u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub</u> <u>Class</u>	<u>Filing</u> <u>Date</u>
_____ A10.	5,895,471	04/20/99	King	709	218	12/22/97
_____ A11.	5,901,359	05/04/99	Malmstrom	455	461	01/03/97
_____ A12.	5,915,222	06/22/99	Olsson	455	466	12/20/96
_____ A13.	5,928,325	07/27/99	Shaughnessy	709	206	02/24/97
_____ A14.	5,961,609	10/05/99	Kayes	709	300	03/29/96
_____ A15.	6,006,091	12/21	Lupien	455	435	12/12/96
_____ A16.	6,018,657	01/25/00	Kennedy	455	426	05/05/97
_____ A17.	6,047,327	04/04/00	Tso	709	232	02/16/96
_____ A18.	6,091,945	07/18/00	Oka	455	411	03/26/97
_____ A19.	6,094,426	07/25/00	Honkasalo	370	331	02/22/99
_____ A20.	6,094,575	07/25/00	Anderson	455	422	03/04/96
_____ A21.	6,101,393	08/08/00	Alperovich	455	466	11/20/97
_____ A22.	6,122,523	09/19/00	Zicker	455	551	07/28/99

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U.S. Patent Documents (continued)

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issued Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A23.	6,128,761	10/03/00	Benayoun	714	758	12/08/97
_____ A24.	6,138,158	10/24/00	Boyle	709	225	04/30/98
_____ A25.	6,158,031	12/05/00	Mack	714	724	09/08/98
_____ A26.	6,182,245	01/30/01	Akin	714	038	08/31/98
_____ A27.	6,195,534	02/27/01	Sakoda	455	045	07/14/98
_____ A28.	6,212,173	04/03/01	Lindsay	370	331	02/14/00
_____ A29.	6,216,104	04/10/01	Moshfeghi	704	260	02/20/98
_____ A30.	6,298,231	10/02/01	Heinz	455	413	09/29/98
_____ A31.	6,415,156	07/02/02	Stadelmann	455	466	09/09/99
_____ A32.	6,427,000	07/30/02	Mumford	379	009	09/24/99
_____ A33.	6,484,282	11/19/02	Tsuto	714	738	10/15/99
_____ A34.	6,519,234	02/11/03	Werkander	370	328	03/18/99
_____ A35.	6,519,265	02/11/03	Liu	370	463	05/28/99

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U.S. Patent Documents (continued)

Examiner Initial*	Patent Number	Issued Date	Name	Class	Sub Class	Filing Date
_____ A36.	6,535,586	03/18/03	Cloutier	379	088.130	12/30/98
_____ A37.	6,587,693	07/01/03	Lumme	455	466	02/07/00
_____ A38.	6,629,130	09/30/03	Mertama	709	206	04/29/99
_____ A39.	6,654,789	11/25/03	Bliss	709	206	06/20/02
_____ A40.	6,697,942	02/24/04	L'Heureux	713	152	10/01/99
_____ A41.	6,738,636	05/18/04	Lielbriedis	455	466	04/12/01
_____ A42.	6,799,039	09/28/04	Wu	455	436	04/13/01
_____ A43.	6,804,796	10/12/04	Gustavsson	714	38	04/18/01
_____ A44.	6,813,502	11/02/04	Son	455	456.300	01/02/01
_____ A45.	6,898,422	05/24/05	Bern	455	412.1	04/12/01
_____ A46.	6,937,566	08/30/05	Forsl�w	370	231	05/29/98
_____ A47.	6,950,809	09/27/05	Dahan	705	076	03/01/01
_____ A48.	7,006,609	02/28/06	Cloutier	379	088.130	11/15/02

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U.S. Patent Documents (continued)

Examiner Initial*	Patent Number	Issued Date	Name	Class	Sub Class	Filing Date
_____ A49.	7,025,209	04/11/06	Hawkins	709	200	05/29/98
_____ A50.	7,130,918	10/31/06	Ouzounidis	709	238	04/05/01
_____ A51.	7,203,733	04/10/07	Bern	709	218	07/02/99
_____ A52.	7,085,553	08/01/06	Harrenstien	455	412.200	07/10/97
_____ A53.	7,103,380	09/05/06	Ditzik	455	556.2	09/08/99

U.S. Patent Publication Documents

Examiner Initial*	Publication Number	Pub. Date	Name	Class	Sub Class	Filing Date
_____ A54.	2002/0089968	07/11/02	Johansson	370	349	12/27/01
_____ A55.	2001/0015977	08/23/01	Johansson	370	392	01/26/01
_____ A56.	2001/0034225	10/25/01	Gupte	455	412	02/12/01
_____ A57.	2002/0098855	07/25/02	Hartmaier	455	466	10/08/01

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Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Reference Number</u>	<u>Pub. Date</u>	<u>Country or Patent Office</u>	<u>International Classification</u>	<u>Trans- lation</u>
_____	A58. WO 93/16564	08/19/93	WIPO	H04Q 7/02	No
_____	A59. WO 97/20443	06/05/97	WIPO	H04Q 7/22	No
_____	A60. WO 97/27546	07/31/97	WIPO	G06F 13/00, 13/14 H04Q 7/06	No
_____	A61. WO 98/03005	01/22/98	WIPO	H04M 3/42 H04Q 3/00	No
_____	A62. WO 98/30051	07/09/98	WIPO	H04Q 7/22	No
_____	A63. WO 98/48549	10/29/98	WIPO	H04M 1/00, 1/57, 3/50	No
_____	A64. WO 98/58476	12/23/98	WIPO	H04L 12/28, 12/56	No
_____	A65. WO 98/11744	03/19/98	WIPO	H04Q 7/22	No
_____	A66. WO 99/16263	04/01/99	WIPO	H04Q 7/22	No
_____	A67. WO 99/52247	10/14/99	WIPO	H04L 12/58 H04Q 7/22, 7/32	No
_____	A68. WO 99/63742	12/09/99	WIPO	H04M 11/00 H04Q 1/30, 7/00	No
_____	A69. WO 99/65256	12/16/99	WIPO	H04Q 7/00	No
_____	A70. WO 00/02403	01/13/00	WIPO	H04Q 7/22	No

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Foreign Patent Documents (continued)

<u>Examiner Initial*</u>	<u>Reference Number</u>	<u>Pub. Date</u>	<u>Country or Patent Office</u>	<u>International Classification</u>	<u>Trans- lation</u>
_____	A71. WO 00/35214	06/15/00	WIPO	H04Q 7/22	No
_____	A72. WO 00/48099	08/17/00	WIPO	G06F 17/60	No
_____	A73. WO 00/64110	10/26/00	WIPO	H04L 12/58	No
_____	A74. WO 00/79811	12/28/00	WIPO	H04Q 7/22	No
_____	A75. GB 2348525 A	04/10/00	Great Britain	G06F 9/46	No
_____	A76. EP 0777394 A1	04/06/97	Europe	H04Q 7/22 H04L 12/58	No
_____	A77. EP 0801512 A2	10/15/97	Europe	H04Q 7/32	No
_____	A78. EP 0833492 A2	04/01/98	Europe	H04M 3/50 H04Q 7/22 H04Q 7/08	No
_____	A79. EP 0924918 A2	06/23/99	Europe	H04M 7/00 H04M 3/42 H04L 29/06	No
_____	A80. EP 1014265 A1	06/28/00	Europe	G06F 11/00	No
_____	A81. CA 2302801 A1	09/23/01	Canada	H04L 12/54 H04Q 7/20	No

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Applicant: Stefan Johansson

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Attorney Docket No.: 15292.5

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Art Unit: 2616

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Non-Patent Literature Documents

Examiner

Initial\*

- \_\_\_\_\_ A82. R. Han et al., *Dynamic Adaptation in an Image Transcoding Proxy for Mobile Web Browsing*, IEEE Personal Communications, vol. 5, no. 6, December 1, 1998, pp. 8-17.
- \_\_\_\_\_ A83. H. Bhardvaj et al., *An Active Transcoding Proxy to Support Mobile Web Access*, Reliable Distributed Systems, October 1998, pp. 118-123.
- \_\_\_\_\_ A84. Anupam Joshi, *On Proxy Agents, Mobility and Webb Access*, Baltzer Mobile Networks and Applications, May 2000, pp. 1-19.
- \_\_\_\_\_ A85. A. Fox et al., *Adapting to Network and Client Variation Using Infrastructural Proxies: Lessons and Perspectives*, IEEE Personal Communications, vol. 5, no. 4, August 1, 1998, pp. 10-19.
- \_\_\_\_\_ A86. RFC 1630 "Universal Resource Identifiers in WWW" Berners-Lee, June 1994.
- \_\_\_\_\_ A87. M.R. Croak, *Unified Messaging Will Deliver The Message*, AT&T Technology, American Telephone & Telegraph Co., Short Hills, New Jersey, vol. 4, No. 2, 1989, pp. 2-5.
- \_\_\_\_\_ A88. Martin F. Parker, et al., *Unified Messaging – A Value – Creating Engine for Next – Generation Network Services*, Bell Labs Technical Journal, vol. 4, No. 2, pp. 71-87, Apr-Jun. 1999.

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Art Unit: 2616

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Non-Patent Literature Documents

Examiner

Initial\*

- \_\_\_\_\_ A89. Suhayya Abu-Hakima, et al., *A Common Multi-Agen Testbed for Diverse Seamless Personal Information Networking Application*, IEEE Communications Magazine, vol. 36, No. 7, pp. 68-74, Jul. 1998.0
- \_\_\_\_\_ A90. John F. Meech, et al., *Intelligent Seamless Messaging*, Proceedings of the IEEE International Conference on Systems, Man and Cybernetics, vol. 2, pp. 1241-1244, 1998.0
- \_\_\_\_\_ A91. Ramiro Liscano, et al., *Integrating Multi-Modal Messages Across Heterogenous*, Proceedings of the 1997 1<sup>st</sup> IEEE Enterprise Networking Mini-Conference, Montreal, Que., Canada, pp. 45-53, Jun. 11-12, 1997.

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

AHY0000004355V001

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Examiner:

Date Considered:

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